

Overview Summary of where we are as at 02/07/2018

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|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | All members have now been through the Bulk analysis process and many of the discrepancies have either been resolved, moved into a different discrepancy category or are being queried with HMRC. Please note that due to the data provided, the analysts had to undertake a major data cleanse exercise to ascertain the working data required for the purpose of this project. As such the numbers have moved around a few times over the last 2 months of analysis, so we suggest you compare the original January 2018 report against the current report to show the most realist movements. |
| 2 | Query logs have been prepared and are in checking prior to upload. |
| 3 | Data Gathering has not yet commenced due to no system access. |
| 4 | It has been identified that a new Altair extract of the Status Date and Status Event Fields would be useful to validate the Dates of Death. Please could we have the Status History Fields for all movements as shown on the Basic Details Screen? Plus some additional extracts to assist in trying to minimise the actual file reviews required. |
| 5 | Note for reference - the starting population sizes have altered slightly for both the NISPI data and Fund data due to double counting of the same member data (eg Closure Scan member was also a Type 1 on the latest SRS extract post Closure Scan) |
| 6 | Still do not have access to Altair to enable data gathering to commence. We is an issue in terms of resource and timescales if not resolved within the next week. |
| 7 | We are now in the waiting game with HMRC for the majority of the discrepancies. |
| 8 | Concentration is now on the outstanding Multiples and outstanding NOA discrepancies over the month of July. |

Multiples 194 cases have been referred to HMRC on Query Logs

Multiples 44 cases required referral to the Admin Team to confirm position. These will be uploaded and an email sent to confirm the upload.

NOA 30 cases required referral to the Admin Team to confirm position. These will be uploaded and an email sent to confirm the upload.

NOA 41 are still in data gathering

Service All outstanding service discrepancies have been referred to HMRC on Query Logs

Definitions:

NISPI National Insurance Services to the Pensions Industry

NOA not on administration system

Isle of Wight Phase 2 Overview June 2018

| Date of Data | Population Size | | Membership Position | | | | | | | | | |
|--------------|-----------------|--------|---------------------|--------------|--------------|----------------|----------------------|------------------------------|----------------|------------------|----------|---------------------|
| | NISPI | Fund | Matched | Not on NISPI | Not on Admin | C/O Start Date | C/O Termination Date | C/O Start & Termination Date | NISPI Type 5's | Multiple Service | GMP Only | Total Discrepancies |
| Feb-18 | 11,146 | 17,012 | 3,704 | 3,592 | 838 | 1,390 | 434 | 284 | 88 | 3,386 | 251 | 10,263 |
| Apr-18 | 11,172 | 17,100 | 3,832 | 3,628 | 838 | 609 | 1,192 | 456 | 88 | 3,336 | 138 | 10,285 |
| May-18 | 11,446 | 18,044 | 8,128 | 2,924 | 722 | 154 | 103 | 78 | 60 | 1,129 | 195 | 5,365 |
| Jun-18 | 11,446 | 18,044 | 8,128 | 2,924 | 722 | 154 | 103 | 78 | 60 | 724 | 195 | 4,960 |

Not on NISPI Overview

| Fund | Queries with DAS | Queries with HMRC | Responses from HMRC | Total Discrepancies |
|--------|------------------|-------------------|---------------------|---------------------|
| Feb-18 | 3,592 | 0 | 0 | 3,592 |
| Apr-18 | 0 | 3,628 | 0 | 3,628 |
| May-18 | 0 | 2,924 | 0 | 2,924 |
| Jun-18 | 0 | 2,924 | 0 | 2,924 |

Query Logs prepared and issued to NISPI

Not on Admin Overview

| Fund | Queries with DAS | Queries with HMRC | Responses from HMRC | Total Discrepancies |
|--------|------------------|-------------------|---------------------|---------------------|
| Feb-18 | 838 | 0 | 0 | 838 |
| Apr-18 | 838 | 0 | 0 | 838 |
| May-18 | 722 | 0 | 0 | 722 |
| Jun-18 | 71 | 651 | 0 | 722 |

Query Logs prepared and issued to NISPI. Majority have transferred out and will be cleared as No Liability once confirmation from HMRC received.

C/O Service Overview

| Fund | Start Date | | End Date | | Both Start & End Date | | Total Discrepancies |
|--------|-------------|---------------|-------------|---------------|-----------------------|---------------|---------------------|
| | GMP Matched | GMP Unmatched | GMP Matched | GMP Unmatched | GMP Matched | GMP Unmatched | |
| Feb-18 | 1,178 | 212 | 391 | 43 | 223 | 61 | 2,108 |
| Apr-18 | 503 | 106 | 1,020 | 172 | 369 | 87 | 2,257 |
| May-18 | 0 | 154 | 0 | 103 | 0 | 78 | 335 |
| Jun-18 | 0 | 154 | 0 | 103 | 0 | 78 | 335 |

Query Logs prepared and issued to NISPI

Multiples Overview

| Fund | Multiple Service / Duplicates | | Total Discrepancies |
|--------|-------------------------------|---------------|---------------------|
| | GMP Matched | GMP Unmatched | |
| Feb-18 | 2,218 | 1,168 | 3,386 |
| Apr-18 | 2,411 | 925 | 3,336 |
| May-18 | 405 | 724 | 1,129 |
| Jun-18 | 281 | 443 | 724 |

Query Logs prepared and issued to NISPI

NISPI Errors Overview

| Fund | Total Discrepancies | |
|--------|---------------------|--------|
| | Type 5 | Type 7 |
| Feb-18 | 85 | 3 |
| Apr-18 | 85 | 3 |
| May-18 | 57 | 3 |
| Jun-18 | 57 | 3 |

Query Logs prepared and issued to NISPI

Isle of Wight Phase 2 Overview June 2018

| Amount of discrepancy (pw)* | | Status | | | |
|------------------------------------------------|--------|----------|----------------------|-----------------------------------------------------------------------------------------------------|--------|
| GMP Discrepancies | Active | Deferred | Pensioner /Dependant | Reconciled Memberships Discrepancies - incl. 'Cleared' statuses (GMP discrepancy still outstanding) | Total |
| Matched | | | | | |
| Feb-18 | 2,240 | 4,473 | 2,965 | 396 | 10,074 |
| Apr-18 | 2,555 | 4,466 | 2,950 | 388 | 10,359 |
| May-18 | 2,808 | 4,021 | 3,130 | 370 | 10,329 |
| Jun-18 | 2,808 | 4,021 | 3,130 | 370 | 10,329 |
| Within £2 Tolerance | | | | | |
| Feb-18 | 0 | 99 | 183 | 0 | 282 |
| Apr-18 | 111 | 122 | 208 | 0 | 441 |
| May-18 | 81 | 108 | 280 | 0 | 469 |
| Jun-18 | 81 | 108 | 280 | 0 | 469 |
| Outside £2 Tolerance | | | | | |
| Feb-18 | 1 | 22 | 45 | 0 | 68 |
| Apr-18 | 249 | 46 | 114 | 0 | 409 |
| May-18 | 42 | 32 | 197 | 0 | 271 |
| Jun-18 | 40 | 30 | 141 | 0 | 211 |
| Unknown due to Membership Discrepancies | | | | | |
| Feb-18 | 1,459 | 402 | 1,069 | 613 | 3,543 |
| Apr-18 | 785 | 357 | 1,007 | 621 | 2,770 |
| May-18 | 905 | 187 | 738 | 430 | 2,260 |
| Jun-18 | 705 | 181 | 628 | 401 | 1,915 |

Update 02/07/2018