

APPENDIX B

APPENDIX B - Details of waivers approved in the period 1 October 2019 to 31 March 2020 (Q3 and 4 2019/20)

Contract title	Value £000	Directorate	Details of the waiver	Long term action plan
6 x block booked beds- winter resilience	80	Adult social care & housing needs	Funding was received to build winter resilience. Local care providers who were known to have capacity were approached and asked to bid to offer 6 beds for block booking.	Procurement process is underway to cover these requirements in the future.
4 x block booked beds to support with hospital discharge	126	Adult social care & housing needs	Funding was received to build winter resilience. A local care provider who was known to have capacity was approached and asked to offer 4 beds for block booking.	Procurement process is underway to cover these requirements in the future.
Residential care home – care provision	105	Adult social care & housing needs	Due to a last minute hold up with the novation of an existing contract, a bridging contract was required to cover the period until the novation could take place.	One off contract.
Community Floating Support Framework	88	Adult social care & housing needs	Supporting People services have been subject to local authority cost efficiency discussions. Although other aspects of this programme have been or are in the process of being completed, the community support elements were where the envisaged savings were going to be made. Cabinet agreed to continue with community services which will be subject to a procurement process, however, the waiver was required to allow time to ascertain the local need and to procure and mobilise the new scheme that is fit for purpose.	Procurement process will be mobilised to procure the services.
Housing first	100	Adult social care & housing needs	Grant funding was secured from the Ministry of Housing, Communities and Local Government (MHCLG). The services are being incorporated in to the 16+ single homeless pathway procurement which is currently underway. Due to the short term nature of the contract required before the 16+ pathway is established the contract with the incumbent supplier was extended.	Services are included in forthcoming 16+ single homeless pathway contract.
Street outreach	173	Adult social care &	Grant funding was secured from the Ministry of Housing, Communities and Local Government (MHCLG). The	Services are included

intervention service		housing needs	services are being incorporated in to the 16+ single homeless pathway procurement which is currently underway. Due to the short term nature of the contract required before the 16+ pathway is established the contract with the incumbent supplier was extended.	in forthcoming 16+ single homeless pathway contract.
Residential placement - secure unit	520	Children's services	Placement could not be made using the children's residential framework agreement therefore the children's service commissioners contacted all known providers in the country until a placement could be made.	Providers are being encouraged to join the framework.
Residential placement - school	173	Children's services	The placement was agreed by the County Placement Group in Hampshire. The provider was named in the young person's care plan therefore the council was legally obliged to fund the placement and a procurement process could not be undertaken.	One off contract.
Early help	520	Children's services	The early help contract was due for renewal on the 1 April 2020. The reason for the waiver was to put the tendering of the early help offer in a more helpful and synchronised trajectory with the budget setting process and phase 3 of the troubled families programme.	Services are being procured.
Residential placement	260	Children's services	Placement could not be made using the children's residential framework agreement therefore the children's service commissioners contacted all known providers in the country until a placement could be made.	Providers are being encouraged to join the framework.
Electronic education health and care plan	80	Children's services	This product is available via two compliant routes to market; however, the supplier offered a greater level of discount through a direct award.	Market options will be reviewed when contract come up for renewal.
Residential placement	200	Children's services	Placement could not be made using the children's residential framework agreement therefore the children's service commissioners contacted all known providers in the country until a placement could be made.	Providers are being encouraged to join the framework.
Residential placement	24	Children's services	Placement could not be made using the children's residential framework agreement therefore the children's service commissioners contacted all known providers in the country until a placement could be made.	Providers are being encouraged to join the framework.

Shared cost additional voluntary contributions (AVC)	75	Corporate services	The supplier is the only company that offer a 'fully managed' shared cost AVC solution. This was determined through market research and by North East Lincs who recently undertook their own market consultation to determine that one only one company is offering the fully managed solution.	Market options will be reviewed when contract come up for renewal.
Modern.Gov	59	Corporate services	This product is available via a compliant route to market; however, the supplier offered a greater level of discount through a direct award.	Market options will be reviewed when contract come up for renewal.
Permanent technical model – independent technical consultant	34	Neighbourhoods	The waiver sought to appoint a supplier who has unique knowledge implementation of the software package from other roles that they fulfil within the PFI Contract. They also have specialist software capabilities within the Highways Asset Management sector. This, combined with a tight timeline for delivery prompted the waiver request.	One off contract.
Parking services case management system	48	Neighbourhoods	The waiver was sought to continue with the current parking back office system while the service area reviewed their requirements and drafted a new specification to take to market.	Contract to be tendered in open market.
Interim strategic manager - highways and transport	70	Neighbourhoods	The postholder handed in their notice to leave the council. To ensure cover while the recruitment process was being undertaken, the service area approach 8 agencies to put forward suitable candidates for interview. The council has a contract for agency workers, however, the supplier was unable to source a suitable candidate, hence the need for the waiver.	One off contract.
DfT access fund – programme manager	47	Strategy	The access fund programme was due to end in March 2020, but the DfT confirmed that funding for the programme would be extended for a further year. The waiver was sought to continue to engage with the supplier managing the fund to ensure that projects continue to be monitored and managed.	Funding to be reviewed and procurement mobilised if further funding is in the pipeline.