

# APPENDIX A

Audit	Audit Sponsor	Strategic Risk Registers	Corporate Outcome
<b>Chief Executive</b>			
Commercial Strategy	Deputy Chief Executive	12	1, 2
Corporate Plan - Metrics	Chief Executive	4, 12	1
<b>Finance</b>			
Bank & Cash	Section 151 Officer	1, 2	1
Budget Monitoring	Section 151 Officer	1, 2	1
Grant Verification	Section 151 Officer	1, 2	1
School Financial Value Standard (SFVS)	Section 151 Officer/Director of Children's Services	1, 2, 5	1, 7
Treasury Management	Section 151 Officer	1, 2	1
<b>Corporate Services</b>			
Accounts Payable (AP)	Director of Corporate Services	1, 2	1
Accounts Receivable (AR)	Director of Corporate Services	1, 2	1
Benefits	Director of Corporate Services	1, 2	1, 3, 5
Council Tax and NNDR	Director of Corporate Services	1, 2	1
IT Application (TBC)	Director of Corporate Services	*	*
IT Disaster Recovery	Director of Corporate Services	*	*
IT Internal Transfer Processing	Director of Corporate Services	*	*
Payroll	Director of Corporate Services	1, 2	1
Pension Administration	Director of Corporate Services	1, 2	1
Sickness Absence/Occupational Health	Director of Corporate Services	3	12
Workforce Development/Learning and Development	Director of Corporate Services	3, 4	12
Contract (TBC)			
<b>Neighbourhoods</b>			
Archives	Director of Neighbourhoods	12	12
Beach Fronts (Water Safety)	Director of Neighbourhoods	8, 12	12
Beach Huts	Director of Neighbourhoods	12	12
Community Funerals	Director of Neighbourhoods	6, 8	3, 12
Contaminated Land	Director of Neighbourhoods	12	10, 12
Grants Paid	Director of Neighbourhoods	12	12
Leisure Centres	Director of Neighbourhoods	12	12
Registrars	Director of Neighbourhoods	12	12
Uniform (Post Implementation)	Director of Corporate Services	*	12
Waste Contract	Director of Neighbourhoods	1, 2	12
<b>Regeneration</b>			
Section 106 Agreements	Director of Regeneration	12	2, 11
Environmental Sustainability	Director of Regeneration	12	2, 11
Island Plan	Director of Regeneration	12	2, 11
Events	Director of Regeneration	3, 12	10, 11, 12
Regeneration Project (TBC)	Director of Regeneration	3, 12	2, 11
<b>Public Health</b>			
Public Health Outcomes	Director of Public Health	6, 8, 9	3, 6, 12
Sexual Health Contract	Director of Public Health	6, 8	3, 6, 12
Substance Misuse Contract	Director of Public Health	6, 8	3, 6, 12
<b>Adult Social Care</b>			
Audits (TBC)	Director of Adult Social Care	TBC	TBC
<b>Education &amp; Childrens Social Care</b>			
School (TBC)	Director of Children's Services	1, 2, 5	1, 2, 7
Audits (TBC)	Director of Children's Services	TBC	TBC
<b>Cross Cutting</b>			
Follow-up	Chief Internal Auditor		
Total			

<b>Strategic Risks</b>
1. Lack of financial resource and the ability to deliver the council's in-year budget strategy.
2. Lack of financial resource and the ability to deliver the council's medium term budget strategy.
3. Insufficient staffing capacity and skills.
4. A change in organisational culture fails to keep a pace with the speed of organisational change, negatively impacting on the delivery of the required transformation to deliver the corporate plan.
5. Failure to Improve educational attainment.
6. Failure to identify and effectively manage situations where vulnerable children are subject to abuse.
7. Failure to recruit acceptable quality of professional practice across Adult Social Care (ASC).
8. Failure to identify and effectively manage situations where vulnerable adults are subject to abuse.
9. Failure to secure the required outcomes from the integration of adult social care and health.
10. The council fails to achieve the required outcomes from its significant contractual relationships and fails to successfully resolve some anomalies in the contract's interpretation (in relation to the 25 year highways PFI contract).
11. Brexit - Uncertainty during transition period, followed by potential legislative, funding and policy changes after the UK leaves the EU may adversely affect the council and its ability to provide services.
12. Achieving the vision for the Island.
13. Additional demands placed upon the Isle of Wight Council and partners owing to pandemic flu.
* Reviews of relevance in managing all risks
<b>Corporate Outcomes</b>
1. A financially balanced and sustainable council.
2. Businesses have confidence to invest.
3. Vulnerable people are supported and protected.
4. All young people will have the best start in life so that they can fill their potential.
5. People have a place to call home and can live with independence.
6. People take responsibility for their own health and wellbeing.
7. A well-educated and skilled community.
8. The IW is a leading UK visitor destination.
9. Outstanding digital and transport connectivity.
10. The community feels safe and the Island is resilient.
11. The environment and unique island characteristics are celebrated.
12. Community needs are met by the best public services possible.
* Applicable across all objectives