

APPENDIX A

Audit	Audit Sponsor	Strategic Risk Registers	Corporate Outcome	Indicative fee
Core				
Key Financial Systems (KFS)	Section 151 Officer	1, 2	1	£11,600
Schools (including corporate oversight)	Director of Children's Services & Section 151 Officer	3	7	£7,800
IT General Controls (ITGC)	Head of Resources	2	12	£7,800
Home to School Transport	Head of Place	1	4	£7,800
Looked After Children	Director of Children's Services	4	4	£7,800
Social Media/CCTV	Chief Executive	*	10	£7,800
Contract Monitoring	Head of Resources	1, 2, 8	12	£7,800
Cross Services Outcomes	Chief Executive	*	*	£7,800
Asset Management	Director of Regeneration	1	1, 11	£7,800
Project Management	Head of Resources	1, 2	1	£7,800
Regulatory Compliance	Head of Place	*	*	£7,800
GDPR/Data Sharing	Head of Resources	*	*	£7,800
Commercial Strategy/Income Generation	Director of Regeneration	1, 9, 10	1, 2	£7,800
Special Educational Needs and Disability (SEND)	Director of Children's Services	4	4	£7,800
Domiciliary Care	Director of Adult Services	6	3	£7,800
Third Party Relationship Governance	Chief Executive	*	*	£7,800
Houses with Multiple Occupation	Head of Place	6	3	£7,800
Income Collection (Cowes Bridge, Shanklin Lift, Crematorium)	Head of Place	1	1, 8	£7,800
Parking (including SIM Cards utilised within parking meters)	Head of Place	1	1, 8	£7,800
Audit to be determined	-	-	-	£7,800
Audit to be determined	-	-	-	£7,800
Audit management				£20,420
Total				£188,020

Optional				
Public Health Outcomes	Director of Public Health	6	6	£7,800
Local Care Plan	Director of Adult Services	5,7	3, 5	£7,800
Floating Bridge (ongoing management & wider development opportunities)	Head of Place	1	1,2,8,12	£7,800
Prevention/Early Intervention	Director of Children's Services	4	3,4	£7,800
Registrars	Head of Place	2	12	£7,800
Disabled Facilities Grant	Head of Place	6	5,6,12	£7,800
Better Care Fund/Joint Commissioning	Director of Adult Services	7	3,12	£7,800
Appointee-ships/Deputyships	Director of Adult Services	6	3,5	£7,800
Deprivation of Liberty (DOLS)	Director of Adult Services	6	3,5	£7,800
Off Island Adult Social Care Placements	Director of Adult Services	5, 6	3,12	£7,800
Property Rationalisation/Accommodation	Director of Regeneration	1	1,12	£7,800
Ethics	Chief Executive	*	2,12	£7,800
Pensions Administration	Section 151 Officer	1	1	£7,800

Strategic Risks				
1. Lack of financial resource and the ability to deliver the council's in-year and medium term budget strategy				
2. Insufficient staffing capacity and skills				
3. Failure to Improve educational attainment				
4. Failure to identify and effectively manage situations where vulnerable children are subject to abuse				
5. Failure to provide acceptable quality of professional practice across Adult Social Care				
6. Failure to identify and effectively manage situations where vulnerable adults are subject to abuse				
7. Failure to secure the required outcomes from the integration of adult social care and health				
8. The council fails to achieve the required outcomes from its significant contractual relationships and fails to successfully resolve some anomalies in the contract's interpretation (in relation to the 25 year Highways PFI contract)				
9. The risk that the Island's economy falls further behind its mainland comparators and is unable to generate sufficient wealth to sustain the Island and its community				
10. Achieving the vision for the Island				
* Reviews of relevance in managing all risks				
Corporate Outcomes				
1. A financially balanced and sustainable council				

2. Businesses have confidence to invest
3. Vulnerable people are supported and protected
4. All young people will have the best start in life so that they can fill their potential
5. People have a place to call home and can live with independence
6. People take responsibility for their own health and wellbeing
7. A well-educated and skilled community
8. The IW is a leading UK visitor destination
9. Outstanding digital and transport connectivity
10. The community feels safe and the Island is resilient
11. The environment and unique island characteristics are celebrated
12. Community needs are met by the best public services possible
* Applicable across all objectives